

GPS Investment Advisors and Mastors & Servant Risk Services



In conjunction with

The Rhode Island State Council of SHRM
& Alliance Bernstein Investments

Invite you to an interactive roundtable for
401(k) Plan Sponsors



Investment Advisors

Are You and Your Participants Getting the Most from your 401(k) Plan?

Turbulent markets and changing rules have spurred many plan sponsors to take a closer look at their defined contribution plan: its design, investment lineup and how its communications program educates participants. Please join us for this engaging roundtable where we will discuss how you can capitalize on recent legislative changes. After this session, you will be able to answer these vital questions:

"How does my plan stack up against its peers?" & "Am I doing all I can to protect myself?"

Seminar participants will be asked a series of questions, answers to which will be catalogued and used to create a custom benchmarking report which will compare your plan to its peers and suggest action items for making enhancements. A benchmarking report will be mailed to you in a few weeks, with a follow up meeting to develop an action plan for getting the most out of our your plan.

In addition, we will be joined by **Kristy Phillips of the United States Department of Labor (DOL)**, who will discuss the all-important issues of *Fiduciary Liability*, *What to Expect during an Audit*, as well as the DOL's *"Voluntary Correction Program"*.

Date: October 20th, 2010

Time: 7:30-10:30 (breakfast will be served)

Location: Crowne Plaza Hotel, Warwick, RI

RSVP: lhickox@investorgps.com

Here's what you can expect from this session:

- *We'll review the key provisions of recent legislative changes*
- *We'll discuss 'fiduciary status' and the roles and responsibilities of this vital position.*
- *We'll cover what to expect during a DOL audit, and how to prepare for such.*
- *An understanding of risk management and insurance strategies for protecting yourself as a plan fiduciary*

This program has been submitted to HRCI for review. The use of this seal is not an endorsement by HRCI of the quality of the program. It means that this program has met HRCI's criteria to be pre-approved for recertification credit.